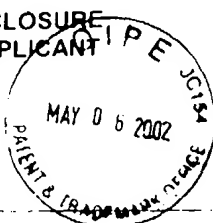


Substitute for form 1449A (PTO)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Use as many sheets as necessary.



Complete if known:

Application Number 09:847061
Filing Date May 1, 2001
First Named Inventor Yang, Guang
Group Art Unit Unknown
Examiner Name Unknown

RECEIVED

TECH CENTER 1600 2900

Sheet 1 of 1

Attorney Docket No: 01343.006US1

US PATENT DOCUMENTS

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
--------------------	---------------------	------------------	---	-------	----------	----------------------------

FOREIGN PATENT DOCUMENTS

Examiner Initials *	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T ²
SWL	WO-00/54751	09/21/2000	Berndt, Gunther, et al			
	WO-01/83520	11/08/2001	Linsell, Martin S., et al			
	WO-01/97851	03/13/2002	Choi, Seung-Ho, et al			
	WO-01/98326	12/27/2001	Mu, YongQi			
	WO-01/98327	12/27/2001	Linsell, Martin, et al			
	WO-01/98328	12/27/2001	Leadbetter, M.R., et al			
SWL	WO-94/12217	06/09/1994	Tsao, Sheng-Wan, et al			

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
---------------------	----------------------	---	----------------

EXAMINER

Swartz

DATE CONSIDERED

11-27-03

Substitute Disclosure Statement Form (PTO 1449)
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. * Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language translation is attached.

RECEIVED
JAN 10 2001
TECH CENTER 1600/2900

Sheet 1 of 2

Form 1449*	Atty. Docket No.: 1343.0061/51	Serial No. 09 847,061
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	Applicant: Guang Yang et al.	
	Filing Date: May 1, 2001	Group: Unknown

U.S. PATENT DOCUMENTS

**Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
SWL	4,643,987	02/17/1987	Nagarajan et al.	514	8	08/14/85
	4,698,327	10/06/1987	Nagarajan et al.	514	8	04/18/86
	4,727,064	02/23/1988	Pitha	514	58	05/29/85
	4,983,586	01/08/1991	Bodor	514	58	03/29/88
	5,591,714	01/07/1997	Nagarajan et al.	514	9	10/13/94
	5,602,112	02/11/1997	Rubinfeld	514	58	08/26/94
	5,750,509	05/12/1998	Malabarba et al.	514	11	04/30/96
	5,840,684	11/24/1998	Cooper et al.	514	11	03/24/95
SWL	5,915,873	06/23/1999	Cooper et al.	514	9	04/01/98

FOREIGN PATENT DOCUMENTS

**Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
SWL	00/39156	07/06/2000	PCT	C07K	9/00	
SWL	00/59528	10/12/2000	PCT	A61K	38/08	
SWL	0667353	08/16/1995	European	C07F	9/00	
SWL	0816378	01/07/1999	European	C07K	9/00	

OTHER DOCUMENTS

**Examiner Initial	Including Author, Title, Date, Pertinent Pages, Etc.
SWL	Allen, M.E., et al., "The Role of Hydrophobic Side Chains as Determinants of Antibacterial Activity of Semisynthetic Glycopeptide Antibiotics", <u>The Journal of Antibiotics</u> , 50 (8), pp. 677-684, (Aug. 1997)
	Ge, M., et al., "Vancomycin Derivatives That Inhibit Peptidoglycan Biosynthesis Without Binding", <u>Science</u> , 284, pp. 507-511, (April 16, 1999)
	Nagarajan, R., et al., "Synthesis and Antibacterial Evaluation of N-Alkyl Vancomycins", <u>The Journal of Antibiotics</u> , XLII (1), pp. 63-72, (Jan. 1989)
	Nicolaou, K.C., et al., "Chemistry, Biology, and Medicine of the Glycopeptide Antibiotics", <u>Angew. Chem. Int. Ed.</u> , 38, pp. 2097-2152, (1999)
	Pavlov, A.Y., et al., "A New Type of Chemical Modification of Glycopeptides Antibiotics: Aminomethylated Derivatives of Eremomycin and Their Antibacterial Activity", <u>The Journal of Antibiotics</u> , 50 (6), pp. 509-513, (June 1997)
	Pavlov, A.Y., et al., "Chemical Modification of Glycopeptide Antibiotics [VC1]", <u>Russian Journal of Bioorganic Chemistry</u> , 24 (9), pp. 570-587, (1998)
SWL	Pavlov, A.Y., et al., "Mono and Double Modified Teicoplanin Aglycon Derivatives on the Amino Acid No. 7; Structure-activity Relationship", <u>The Journal of Antibiotics</u> , 51 (1), pp. 73-78, (Jan. 1998)

Examiner

Date Considered

*Substitute Disclosure Statement Form PTO 1449

**EXAMINER: Initial, if citation considered, whether or not citation is in conformance with MPEP 509; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

RECEIVED

JAN 10 2002

TECH CENTER 1600:2900

Sheet 2 of 2

Form 1449*	Atty. Docket No.: 1343.006C.S1	Serial No. 09 847,061
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	Applicant: Guang Yang et al.	
	Filing Date: May 1, 2001	Group: Unknown

OTHER DOCUMENTS

**Examiner
Initial

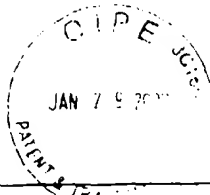
Including Author, Title, Date, Pertinent Pages, Etc.

SwL Zang, M., et al., "A review of recent applications of cyclodextrins for drug discovery", Expert Opinion on Therapeutic Patents, 9 (12), 21 p., (1999)

Examiner <u>SwL</u>	Date Considered <u>11-27-2003</u>
---------------------	-----------------------------------

*Substitute Disclosure Statement Form, PTO 1449

**EXAMINER Initial: If citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



RECEIVED
JAN 5 2002
TECH CENTER 1600/2300
Sheet 1 of 1

Form 1449*	Atty. Docket No.: 1343.006US1	Serial No. 09 847,000
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	Applicant: Guang Yang et al.	
	Filing Date: May 1, 2001	Group: Unknown

U.S. PATENT DOCUMENTS

**Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
-----------------------	-----------------	------	------	-------	----------	-------------------------------

FOREIGN PATENT DOCUMENTS

**Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes : No
SWL	00/04044	01/27/2000	PCT	C07K	7/50	

OTHER DOCUMENTS

**Examiner Initial	Including Author, Title, Date, Pertinent Pages, Etc.
-----------------------	--

Examiner <u>SWL</u>	Date Considered <u>11-27-00</u>
---------------------	---------------------------------

*Substitute Disclosure Statement Form PTO 1449

**EXAMINER: Initial if citation considered whether or not citation is in conformance with MPEP 608. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.